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Scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations

Report of the Fifth Committee

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I. Introduction

1. At its 9th plenary meeting, on 11 September 2000, the General Assembly, on the recommendation of the General Committee, decided to include in the agenda of its fifty-fifth session the item entitled "Scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations" and to allocate it to the Fifth Committee.

2. The Fifth Committee considered the item at its 7th, 9th, 12th and resumed 43rd meetings, on 3, 5 and 6 October and 23 December 2000. Statements and observations made in the course of the Committee's consideration of the item are reflected in the relevant summary records (A/C.5/55/SR.7, 9, 12 and 43).

II. Consideration of proposals

A. Draft resolution A/C.5/55/L.37

3. At the resumed 43rd meeting, on 23 December, the representative of Armenia and coordinator of informal consultations on the item introduced, on behalf of the Chairman, a draft resolution entitled "Scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations" (A/C.5/55/L.37).

4. Before the adoption of the draft resolution, statements were made by the representatives of China, Estonia, the United States of America, Israel, Singapore and India.

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5. At the same meeting, the Committee adopted draft resolution A/C.5/55/L.37 without a vote (see para. 10, draft resolution I).

6. After the adoption of the draft resolution, statements in explanation of position were made by the representatives of the Republic of Korea, Nigeria (on behalf of the States Members of the United Nations that are members of the Group of 77 and China), Cuba, Kyrgyzstan, the United States of America, the United Arab Emirates, Colombia (on behalf of States Members of the United Nations that are members of the Rio Group) and Venezuela.

B. Draft resolution A/C.5/55/L.38

7. At the resumed 43rd meeting, on 23 December, the representative of Armenia and coordinator of informal consultations on the item introduced, on behalf of the Chairman, a draft resolution entitled "Voluntary movements in connection with the apportionment of the expenses of United Nations peacekeeping operations" (A/C.5/55/L.38).

8. Before the adoption of the draft resolution, statements were made by the representatives of Uruguay and Turkey.

9. At the same meeting, the Committee adopted draft resolution A/C.5/55/L.38 without a vote (see para. 10, draft resolution II).

III. Recommendations of the Fifth Committee

10. The Fifth Committee recommends to the General Assembly the adoption of the following draft resolutions:

Draft resolution I
Scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations

The General Assembly,

I

Reaffirming the principles set out in its resolutions 1874 (S-IV) of 27 June 1963 and 3101 (XXVIII) of 11 December 1973,

1. *Reaffirms* the following general principles underlying the financing of United Nations peacekeeping operations:

(a) The financing of such operations is the collective responsibility of all States Members of the United Nations and, accordingly, the costs of peacekeeping operations are expenses of the Organization to be borne by Member States in accordance with Article 17, paragraph 2, of the Charter of the United Nations;

(b) In order to meet the expenditures caused by such operations, a different procedure is required from that applied to meet expenditures under the regular budget of the United Nations;

(c) Whereas the economically more developed countries are in a position to make relatively larger contributions to peacekeeping operations, the economically less developed countries have a relatively limited capacity to contribute towards peacekeeping operations involving heavy expenditures;

(d) The special responsibilities of the permanent members of the Security Council for the maintenance of peace and security should be borne in mind in connection with their contributions to the financing of peace and security operations;

(e) Where circumstances warrant, the General Assembly should give special consideration to the situation of any Member States which are victims of, and those which are otherwise involved in, the events or actions leading to a peacekeeping operation;

2. *Recognizes* the need to reform the current methodology for apportioning the expenses of peacekeeping operations;

3. *Notes with appreciation* voluntary contributions made to peacekeeping operations and, without prejudice to the principle of collective responsibility, invites Member States to consider making such contributions;

II

4. *Decides* that assessment rates for the financing of peacekeeping operations should be based on the scale of assessments for the regular budget of the United Nations, with an appropriate and transparent system of adjustments based on levels of Member States, consistent with the principles outlined above;

5. *Decides also* that the permanent members of the Security Council should form a separate level and that, consistent with their special responsibilities for the maintenance of peace and security, they should be assessed at a higher rate than for the regular budget of the United Nations;

6. *Decides* that all discounts resulting from adjustments to the regular budget assessment rates of Member States in levels C through J shall be borne on a pro rata basis by the permanent members of the Security Council;

7. *Decides also* that the least developed countries should be placed in a separate level and receive the highest rate of discount available under the scale;

8. *Decides further* that the statistical data used for setting the rates of assessment for peacekeeping should be the same as the data used in preparing the regular budget scale of assessment, subject to the provisions of the present resolution;

9. *Decides* to create levels of discount to facilitate automatic, predictable movement between categories on the basis of Member States' per capita gross national product;

10. *Decides also* that, as from 1 July 2001, peacekeeping rates of assessment should be based on the following parameters:

Ten levels of contribution, as set forth below, and based on the following table:

Peacekeeping scale levels based on average per capita gross national product (PCGNP) of all Member States

Level	*Threshold*	*Dollar thresholds (2001-2003)*	*Target discount per cent*	*Transition period for new contributors (2001-2003 scale)*
A	Permanent members of the Security Council		Premium	
B	All Member States (except level A contributors)	N/A	0	3 years
C	N/A	N/A	7.5	3 years
D	Below 2X average PCGNP of all Member States (except level A contributors)	Under $9 594	20	3 years
E	Below 1.8X average PCGNP of all Member States (except level A contributors)	Under $8 634	40	2 years
F	Below 1.6X average PCGNP of all Member States (except level A contributors)	Under $7 675	60	N/A
G	Below 1.4X average PCGNP of all Member States (except level A contributors)	Under $6 715	70	N/A
H	Below 1.2X average PCGNP of all Member States (except level A contributors)	Under $5 756	80 (or 70 on a voluntary basis)	N/A
I	Below average PCGNP of all Member States	Under $4 797	80	N/A
J	Least developed countries (except level A contributors)		90	N/A

11. *Decides further* that Member States will be assigned to the lowest level of contribution with the highest discount for which they are eligible, unless they indicate a decision to move to a higher level;

12. *Decides* that for purposes of determining the eligibility of Member States for contribution in particular levels during the 2001-2003 scale period, the average per capita gross national product of all Member States will be $4,797, and the per capita gross national product of Member States will be the average of 1993 to 1998 figures;

13. *Decides also* that transitions as specified above will occur in equal increments over the transition period as designated above;

14. *Decides further* that after 2001-2003, transition periods of two years will apply to countries moving up by two levels, and that transition periods of three years will apply to countries moving up by three levels or more without prejudice to paragraph 11;

15. *Requests* the Secretary-General to update the composition of the levels described above on a triennial basis, in conjunction with the regular budget scale of assessment reviews, in accordance with the criteria established above and to report thereon to the General Assembly;

16. *Decides* that the structure of levels to be implemented from 1 July 2001 shall be reviewed after nine years;

17. *Decides also* that Member States may agree upon adjustments to their assessment rates under the ad hoc scale in the light of the special transitional circumstances applying during the period 1 January to 30 June 2001;

III

18. *Decides* that, as an ad hoc arrangement until 30 June 2001, in respect of the composition of groups set out in paragraphs 3 and 4 of its resolution 43/232 of 1 March 1989, as adjusted by subsequent relevant resolutions and decisions, for the apportionment of peacekeeping appropriations, that Tuvalu should be included in the group of Member States set out in paragraph 3 (d) of resolution 43/232 and that its contributions to peacekeeping operations should be calculated in accordance with the provisions of the relevant resolutions adopted and to be adopted by the General Assembly regarding the scale of assessments;

19. *Decides also* that, as an ad hoc arrangement until 30 June 2001, in respect of the composition of groups set out in paragraphs 3 and 4 of its resolution 43/232, as adjusted by subsequent relevant resolutions and decisions, for the apportionment of peacekeeping appropriations, that the Federal Republic of Yugoslavia should be included in the group of Member States set out in paragraph 3 (c) of resolution 43/232 and that its contributions to peacekeeping operations should be calculated in accordance with the provisions of the relevant resolutions adopted and to be adopted by the General Assembly regarding the scale of assessments;

20. *Decides further* that, as an ad hoc arrangement until 30 June 2001, in respect of the composition of groups set out in paragraphs 3 and 4 of its resolution 43/232, as adjusted by subsequent relevant resolutions and decisions, for the apportionment of peacekeeping appropriations, that, as from 1 January 2001, South Africa should be included in the group of Member States set out in paragraph 3 (c) of resolution 43/232 and that its contributions to peacekeeping operations should be calculated in accordance with the provisions of the relevant resolutions adopted and to be adopted by the General Assembly regarding the scale of assessments;

21. *Decides* that, as an ad hoc arrangement until 30 June 2001, in respect of the composition of groups set out in paragraphs 3 and 4 of its resolution 43/232, as adjusted by subsequent relevant resolutions and decisions, for the apportionment of peacekeeping appropriations, that, as from 1 January 2001, Cambodia should be included in the group of Member States set out in paragraph 3 (d) of resolution 43/232 and that its contributions to peacekeeping operations should be calculated in accordance with the provisions of the relevant resolutions adopted and to be adopted by the General Assembly regarding the scale of assessments;

22. *Decides*, as an ad hoc arrangement, to assess the share of the Republic of Korea, which currently belongs to Group C, in the costs of peacekeeping operations in the following manner: 36 per cent of the regular budget assessment beginning on 1 July 2001, 52 per cent in 2002, 68 per cent in 2003, 84 per cent in 2004, and 100 per cent in 2005.

Annex

Assignment of contribution levels for 2001-2003

Level A

Permanent members of the Security Council: China, France, Russian Federation, United Kingdom of Great Britain and Northern Ireland, United States of America

Level B

Andorra, Australia, Austria, Belgium, Canada, Cyprus, Denmark, Finland, Germany, Greece, Iceland, Ireland, Israel, Italy, Japan, Liechtenstein, Luxembourg, Monaco, Netherlands, New Zealand, Norway, Portugal, San Marino, Spain, Sweden

Level C

Brunei Darussalam, Kuwait, Qatar, Singapore, United Arab Emirates

Level D

Bahamas, Republic of Korea

Level E

Antigua and Barbuda, Bahrain, Malta, Slovenia

Level F

Argentina, Barbados, Seychelles

Level G

Oman, Palau, Saudi Arabia

Level H

Saint Kitts and Nevis, Uruguay

Level I

Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Cameroon, Chile, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Estonia, Fiji, Gabon, Georgia, Ghana, Grenada, Guatemala, Guyana, Honduras,

Hungary, India, Indonesia, Iran (Islamic Republic of), Iraq, Jamaica, Jordan, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lebanon, Libyan Arab Jamahiriya, Lithuania, Malaysia, Marshall Islands, Mauritius, Mexico, Micronesia (Federated States of), Mongolia, Morocco, Namibia, Nauru, Nicaragua, Nigeria, Pakistan, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Poland, Republic of Moldova, Romania, Saint Lucia, Saint Vincent and the Grenadines, Senegal, Slovakia, South Africa, Sri Lanka, Suriname, Swaziland, Syrian Arab Republic, Tajikistan, Thailand, the former Yugoslav Republic of Macedonia, Tonga, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Ukraine, Uzbekistan, Venezuela, Viet Nam, Yugoslavia, Zimbabwe

Level J

The least developed countries: Afghanistan, Angola, Bangladesh, Benin, Bhutan, Burkina Faso, Burundi, Cambodia, Cape Verde, Central African Republic, Chad, Comoros, Democratic Republic of the Congo, Djibouti, Equatorial Guinea, Eritrea, Ethiopia, Gambia, Guinea, Guinea-Bissau, Haiti, Kiribati, Lao People's Democratic Republic, Lesotho, Liberia, Madagascar, Malawi, Maldives, Mali, Mauritania, Mozambique, Myanmar, Nepal, Niger, Rwanda, Samoa, Sao Tome and Principe, Sierra Leone, Solomon Islands, Somalia, Sudan, Togo, Tuvalu, Uganda, United Republic of Tanzania, Vanuatu, Yemen, Zambia

Draft resolution II
Voluntary movements in connection with the apportionment of the expenses of United Nations peacekeeping operations

The General Assembly,

Recalling its resolution 55/235 of 23 December 2000,

1. *Welcomes with appreciation* the commitment of certain Member States to undertake voluntarily to contribute to peacekeeping operations at a rate higher than required by their per capita income;

2. *Welcomes* the voluntary decision made by Estonia and Israel to reclassification for the purpose of the apportionment of the expenses of United Nations peacekeeping operations;

3. *Decides* that, as an ad hoc arrangement until 30 June 2001, in respect of the composition of groups set out in paragraphs 3 and 4 of its resolution 43/232, as adjusted by subsequent relevant resolutions and decisions, for the apportionment of peacekeeping operations, that, from 1 January 2001, Estonia should be included in the group of Member States set out in paragraph 3 (b) of resolution 43/232 and that its contributions to peacekeeping operations should be calculated in accordance with the provisions of the relevant resolutions adopted and to be adopted by the Assembly regarding the scale of assessments;

4. *Decides* that, as an ad hoc arrangement until 30 June 2001, in respect of the composition of groups set out in paragraphs 3 and 4 of its resolution 43/232, as adjusted by subsequent relevant resolutions and decisions, for the apportionment of peacekeeping appropriations, that, from 1 January 2001, Israel should be included in

the group of Member States set out in paragraph 3 (b) of resolution 43/232 and that its contributions to peacekeeping operations should be calculated in accordance with the provisions of the relevant resolutions adopted and to be adopted by the General Assembly regarding the scale of assessments;

5. *Welcomes also* the following voluntary commitments:

Bulgaria: from Level I to Level H;[1]

Czech Republic: from Level I to Level H;[1]

Estonia: to move to Level B immediately upon the effective date of the new scale, forgoing its transition time;

Hungary: from Level I to Level B, with a transition time of five years, starting from 1 July 2001 as follows: from Level I to Level H[1] from 1 July 2001; from Level H[1] to Level F from 1 July 2002; from Level F to Level E from 1 July 2003; from Level E to Level D from 1 July 2004; and from Level D to Level B from 1 July 2005;

Israel: to move to Level B immediately upon the effective date of the new scale, forgoing its transition time;

Latvia: from Level I to Level H;[1]

Lithuania: from Level I to Level H;[1]

Malta: from Level E to Level B;

Poland: from Level I to Level H;[1]

Philippines: from Level I to Level H;[1]

Romania: from Level I to Level H;[1]

Slovakia: from Level I to Level H;[1]

Slovenia: from Level E to Level B immediately upon the effective date of the new scale, forgoing its transition time;

Turkey: from Level I to Level H[1] from the effective date of the new scale until 2002; and from Level H[1] to Level F for the remainder of the scale period;

6. *Decides* that at any time during the scale period, a Member State may make a voluntary commitment to contribute at a rate higher than its current rate by informing the General Assembly through the Secretary-General, and the General Assembly may take note of that decision.

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[1] Level H means 70 per cent discount for voluntary movement.